

**ACADEMIA SINICA
CONFLICT OF INTEREST DISCLOSURE FORM**

Name:	Institute:
Position:	E-mail:
Tel:	Fax:
Project Title:	
Sponsoring Company:	

Section A

These questions apply to your current activities and any activities anticipated during the period in which the research will be performed.

1. Do you, or does any member of your Family, or an Associated Entity, have or receive a Financial Interest in or from the Sponsoring Company?
_____ Yes _____ No
2. Do you participate in research, including any clinical trial, on a Technology owned or contractually obligated (including by license or exercise of an option to license) to the Sponsoring Company?
_____ Yes _____ No
3. Do you hold any Executive Position in the Sponsoring Company?
_____ Yes _____ No
4. Do you serve on the Board of Directors or Scientific Advisory Board of the Sponsoring Company?
_____ Yes _____ No
5. Are you a member of a 'Speaker's Bureau' or other list of approved speakers concerning the products or services of the Sponsoring Company?
_____ Yes _____ No
6. Have you participated in or otherwise influenced any Academia Sinica's transaction to buy, sell, lease, or license real or intellectual property to or from the

Sponsoring Company?

_____ Yes _____ No

7. Have you participated in or otherwise influenced the selection of the Sponsoring Company as a contractor, vendor or supplier of goods or services to Academia Sinica?

_____ Yes _____ No

8. Have you taken any administrative action within Academia Sinica which is likely to benefit the Sponsoring Company?

_____ Yes _____ No

9. Have you assigned any student, postdoctoral fellow or other trainee, officer, support staff or other individual to a project sponsored by the Sponsoring Company?

_____ Yes _____ No

Section B

If you have answered YES to any of the questions set forth above, please attach an explanation indicating:

1. the nature and extent of interest you, any member of your Family or an Associated Entity have or receive in or from the Sponsoring Company (e.g., the percentage and value of any ownership interest in the Sponsoring Company and/or the type and value of any payment received from the Sponsoring Company),
2. your role or that of any member of you Family or Associated Entity in the Sponsoring Company ,
3. the nature of the business of the Sponsoring Company and its relationship to your activities at Academia Sinica,
4. the extent of involvement by any student or other individual assigned by you to a project sponsored by the Sponsoring Company

Signature _____

Date _____

Conflict of Interest Definitions

An "**Associated Entity**" of a researcher means any trust, organization or enterprise other than Academia Sinica over which the researcher, alone or together with his Family, exercises a controlling or significant interest.

"**Executive Position**" refers to any salaried position which includes responsibilities for a material segment of the operation or management of the sponsoring company.

The "**Family**" of a researcher includes his spouse, dependent children, and any other immediate family member, e.g., sibling, parent(s), or adult child, whose financial holdings are known to the researcher.

A "**Financial Interest**" is a significant interest in the sponsoring company consisting of anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests) and intellectual property rights (e.g., patents, copyrights, and royalties from such rights). This excludes any interest arising solely by reason of investment in such sponsoring company by a mutual, pension or other institutional investment fund over which the researcher does not exercise control.

"**Participate**" means to be part of the described activity in the capacity of a principal investigator, coinvestigator, coordinator, or faculty advisor.

"**Sponsoring Company**" means any entity providing financial support for the research activity involving human subjects described in this application.

"**Technology**" means any methodology, information, compound, drug, device, diagnostic, medical or surgical procedure intended for use in health care or health care delivery, or biomedical research.